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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/649,042	08/26/2003	Masayuki Chatani	SONYP026	4966
25920 7590 03/16/2009 MARTINE PENILLA & GENCARELLA, LLP 710 LAKEWAY DRIVE SUITE 200 SUNNYVALE, CA 94085				
EXAMINER				
KUCAB, JAMIE R				
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/649,042

Applicant(s)

CHATANI, MASAYUKI

Examiner

JAMIE KUCAB

Art Unit

3621

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 December 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6, 8-13, 15-17 and 24 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6, 8-13, 15-17, and 24 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SI/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Acknowledgements

1. Applicant's response filed December 2, 2008 is acknowledged.
2. Claims 1-6, 8-13, 15-17, and 24 are pending in the application. Claims 1-6, 8-13, 15-17, and 24 are examined below.
3. This Office action is given Paper No. 20090217 for reference purposes only.
4. Based on a comparison of the PGPub 2005/0050208 with Applicant's originally submitted specification, the PGPub appears to be a fair and accurate record of the Applicant's specification. Therefore, if necessary any references in this action to Applicant's specification refer to paragraph numbers in the PGPub.
5. The Examiner for this application has changed. Please note that the Examiner of record is now Jamie Kucab.

Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States. . . .

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects

for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

7. Claims 1-6, 8-13, 15, 16, and 24 are rejected under 35 U.S.C. §102(e) as being anticipated by Ohmori et al. (US Patent No. 7,020,636, hereafter, "Ohmori").
8. Regarding claims 1, 9, 15, 16, and 24, Ohmori discloses method/system for controlling access to computer readable media, comprising the operations of:
 - a. receiving a digital authentication ticket (step S208, Fig. 14), obtained by a client device ("IC CARD 20," Fig. 1) and saved in memory of the client device, before being transmitted to a computer system ("DVD PLAYER 40," Fig. 1) having particular computer readable content ("ENCRYPTED CONTENT FROM DVD"), wherein the digital authentication ticket includes a digital code ("title key"), the digital authentication code being separate from the particular computer readable content to enable receipt of the digital authentication ticket independent of a location of the particular computer readable content;
 - b. transferring (step S174, Fig. 10) the digital authentication ticket wirelessly (C27 L22-25) from the client device to the computer system;
 - c. determining, at the computer system, whether the digital authentication ticket corresponds to the particular computer readable content when the digital authentication is obtained ("DECRYPT ENCRYPTED CONTENT USING TITLE KEY," step S164, Fig. 10);
 - d. allowing access to the particular computer readable content at the computer system when the digital authentication ticket corresponds to the

particular computer readable content ("REPRODUCE AND OUTPUT CONTENT," step S165, Fig. 10);

- e. preventing access to the particular computer readable content at the computer system when the digital authentication ticket does not correspond to the particular computer readable content (inherently, because the content is encrypted, therefore, if the title key does not correspond to the encrypted content, the result of the decryption step would not be unencrypted content but rather unintelligible garbage); and
 - f. invalidating the digital code after allowing access to the particular media to prevent unlocking of additional media content without purchase ("...judge whether the digital work is allowed to be used when the elapsed date and time is on or after the present date and time," C4 L7-39; or, alternately, "the number of times reproduction is allowed can be set," C4 L40-56).
9. As to claim 2, Ohmori further discloses the operation of transmitting the digital authentication ticket to the client device in response to receiving a request for the digital authentication ticket (step S122, Fig. 13).
10. As to claims 3 and 10, Ohmori further discloses the operation of processing the request for the digital authentication ticket, wherein the processing includes charging a fee to a user ("the rental agent receives a user's payment for the rental," C2 L57-58).
11. As to claims 4 and 11, Ohmori further discloses wherein the request is received using a payment server ("SHOP APPARATUS 10," Fig. 1) located remotely from the client device (see Fig. 1).

12. Regarding claims 5 and 12, Ohmori further discloses the operation of transmitting the digital authentication ticket from a game server ("SERVER APPARATUS") to the payment server (step S207, Fig. 14), the game server storing a plurality of digital authentication tickets (inherently, because DVD rental stores do not stock just a single title).

13. As to claims 6 and 13, Ohmori further discloses wherein the computer system is located locally to the client device (see Fig. 1).

14. Regarding claim 8, Ohmori further discloses wherein the digital authentication ticket is transmitted wirelessly from the payment server to the client device (C27 L22-25).

Claim Rejections - 35 USC § 103

15. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

16. Claims 1-6, 8-13, 15, 16, and 24 are alternatively rejected under 35 U.S.C. §103(a) as being unpatentable over Ohmori.¹

17. As detailed in the above 102 rejection above, it is the Examiner's principle position that Ohmori anticipates a plurality of digital authentication tickets and preventing access because of the inherencies noted above.

18. If not inherent, then Ohmori discloses the claimed invention as detailed above, except Ohmori does not explicitly disclose a plurality of digital authentication tickets and preventing access when the code does not correspond to the content. Therefore if not inherent, it would have been obvious to a person having ordinary skill in the art at the time of the invention to include a plurality of digital authentication tickets and preventing access when the code does not correspond to the content, because this would achieve the predictable results of making the businesses profitable by diversifying the offering of DVD titles and preventing illicit copying of those titles.

19. Claim 17 is rejected under 35 U.S.C. §103(a) as being unpatentable over Ohmori in view of Ginter et al. (US Pat. No. 5,892,900 hereafter "Ginter").

20. Regarding claim 17, Ohmori discloses all the elements of the claimed invention as detailed above, but Ohmori fails to explicitly disclose wherein the game server transmits the computer readable content to the computer system.

21. However, Ginter teaches wherein the game server ("CONTENT CREATOR 102") transmits the computer readable content to the computer system ("CONTENT USER 112," Fig. 2A).

22. It would have been obvious to one having ordinary skill in the art at the time of the invention to modify the method/system of Ohmori to include the transmitting from game server to computer system of Ginter in order to achieve the predictable result of streamlining delivery of content and reducing the inventory burden on the owner of the payment server.

¹ See MPEP §2112 III expressly authorizing alternative §102/103 rejections when the question of

Claim Interpretation

23. Independent claims (1, 9, and 24) are examined together, since they are not patentably distinct. If Applicant expressly states on the record that two or more independent and distinct inventions are claimed in this application, the Examiner may require the applicant to elect an invention to which the claims will be restricted.

Response to Arguments

24. Applicant's arguments with respect to the §102 and §103 rejections of the claims have been considered but are moot in view of the new grounds of rejection.

Conclusion

25. Applicant's amendment filed December 2, 2008 necessitated the new grounds of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 C.F.R. §1.136(a).

26. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

inherency is present in the anticipation rejection.

extension fee pursuant to 37 C.F.R. §1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

27. References considered pertinent to Applicant's disclosure are listed on form PTO-892. All references listed on form PTO-892 are cited in their entirety.

28. The Examiner has cited particular column, line, and/or paragraph numbers in the references as applied to the claims above for the convenience of the Applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may be applied as well. It is respectfully requested from the Applicant, in preparing responses, to fully consider a reference in its entirety as potentially teaching all or part of the claimed invention as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

29. Because this application is now final, Applicant is reminded of the USPTO's after final practice as discussed in MPEP §714.12 and §714.13 and that entry of amendments after final *not* a matter of right. "The refusal of an examiner to enter an amendment after final rejection of claims is a matter of discretion." *In re Berger*, 279 F.3d 975, 984, 61 USPQ2d 1523, 1529 (Fed. Cir. 2002) (citations omitted).

30. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Jamie Kucab whose telephone number is 571-270-3025. The Examiner can normally be reached on Monday-Friday 9:30am-6:00pm EST.

31. If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Andrew Fischer can be reached on 571-272-6779. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

32. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JK

/ANDREW J. FISCHER/
Supervisory Patent Examiner, Art Unit 3621